

# Attachment Warehouse Producer Screens

Scheduled Release Date:  
August 29, 2008

Tiffany Doenges

Bill Horn



## NON-RESIDENT LICENSING

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## ANNOUNCEMENTS

**Attention Resident North Carolina Producers:** North Carolina is in the process of converting your license number from your social security number (SSN) to your national producer number (NPN). You may be required to provide your NPN to perform electronic processes through NIPR based on this transition and other states' business rules. If you do not know your NPN, you may access this information at the following link:

<https://www.nipr.com/html/PacNpnSearch.html>

**IN** - Indiana offers both combined and separate lines of authority for Life, Accident & Health and Property & Casualty. DO NOT apply for both combination and separate authorities. Please check the state website to verify any active authorities.

**NJ** - New Jersey is now issuing licenses for a two year period instead of a four year period. Fees have been adjusted accordingly.

**NY** - Attention New York Non-Resident Applicants: Applicants that do not have a Date of Birth on PDB for their resident state currently cannot apply electronically via the NIPR Non-Resident Licensing website. New York will temporarily accept paper applications for these individuals. Please use the NY paper application. These applications may be found at: <http://www.ins.state.ny.us/faxappi.htm>

**Transaction # 00000000**

**Thank you for your recent electronic (type here) application for (STATE) for (Producer's Name)**

**This is an update on the status of your application. A decision has not yet been reached due to the following reason(s):**

- Lic Class: (License Class applied/renewed for here) – (LOA here) - Comments: Background Question (insert number) answered "YES"**
- Lic Class: (License Class applied/renewed for here) – (LOA here) - Comments: Transaction has been sent to state for review**

**We are making every effort to ensure that your application is processed as quickly as possible. Your patience is appreciated. If you have already received the results for your application, please disregard this message. Again, we thank you for your business.**

### **ELECTRONIC ATTACHMENT WAREHOUSE**

**Everywhere documents are requested by the State in response to answering "yes" to one or more of the Uniform Application's background question, please know that electronic documents can be submitted to the new electronic Attachment Warehouse where they can be viewed by each participating State. Only electronic documents can be submitted. The link to the electronic Attachment Warehouse is**

**<https://www.nipr.com/docMgmt/>**

**If a state has additional requirements other than electronic submission of your requested documentation, those states will contact you directly. Documents previously submitted to the NAIC/NIPR Attachments Warehouse do not need to be resubmitted.**



ANNOUNCEMENTS

INFORMATION

PRODUCTS & SERVICES

Producer Database (PDB)
The PDB is a central repository of producer licensing information.
More Information >

Log In to PDB >

NIPR Gateway
The NIPR Gateway is a communication network that electronically links state insurance departments with the entities they regulate.
More Information >

Log In to NIPR Gateway >

Address Change Request

Electronic Licensing

Non-Resident
Initial License
Renewals

NAIC Completes Comprehensive Producer Licensing Assessment

Address Change Request is now available, click here.

If you have questions, comments or concerns, please see the Contacts/Help area for contact information.

LATEST NEWS RELEASES

NIPR Releases 2007 Annual Report
3/29/08

NEWS FLASH
NIPR Celebrates Processing Milestone
3/21/08

Producer Licensing Expert Joins NIPR
2/28/08

NIPR Board Welcomes New Member
2/22/08

Address Change Tool Sees Success: New Product Reduces Paperwork, Processing Time

NAIC Home Page

Link to Departments of Insurance Sites

Regulator Information

NIPR Focus Group

User Guides

FAQs

Authorized Business Partners

Product List by State

Product Definitions

NIPR EVENTS CALENDAR

IIABA Midwest Tech Conference

August 21-22, 2008
Overland Park, KS

Nat'l Assoc. of Insurance & Financial Advisors (NAIFA)

Electronic Resident Licensing - Microsoft Internet Explorer

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## ELECTRONIC RESIDENT LICENSING OR RENEWAL

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FAQs >

**Begin ERL Application >**

This is an on-line application designed to accelerate the Resident Licensing process. This application is for new licenses and renewing existing licenses.

### NOTICE

Electronic Check ACH Setup

- Some banks or companies may require a pre-authorization to use this electronic check service. If you need to set up this service with your financial institution, this NIPR ACH-ID will be required. ACH-ID - 9098504041.
- Use the FAQ section for additional information concerning electronic check processing.

To successfully participate in electronic resident licensing you must:

- Make application to one of the following States: ([Resident Licensing States](#))
- Make an application for at least ONE (1) Line of Authority as offered by applicants

Internet

USE AGREEMENT - Microsoft Internet Explorer

File Edit View Favorites Tools Help

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Address <https://nipr-qa.nipr.com:2020/docMgmt/> Go

## USE AGREEMENT

THIS IS A LEGAL AGREEMENT BETWEEN YOU ("USER") AND NATIONAL INSURANCE PRODUCER REGISTRY ("NIPR"). BY CLICKING ON THE AGREE BUTTON OR USING THE SERVICES PROVIDED HEREIN, USER IS CONSENTING TO BE BOUND BY AND IS BECOMING A PARTY TO ALL OF THE TERMS AND CONDITIONS OF THIS AGREEMENT. PLEASE READ THIS ENTIRE AGREEMENT CAREFULLY BEFORE ACCEPTING ITS TERMS.

- Description of Services**

The following Services are provided by NIPR to allow USER to submit information electronically for transmittal to state insurance departments through the Gateway. USER acknowledges that NIPR may charge a transaction fee for use of the Services. These services are for personal and non-commercial use only unless specifically stated elsewhere in this Agreement:

  - o Non-Resident Licensing ("NRL") Services: allows USER to electronically complete and submit the Uniform Application for a Non-Resident License ("Uniform Application") for purposes of filing a non-resident license application and non-resident license renewal with state insurance departments.
  - o NIPR's Producer Access Services: allows USER to electronically complete and submit license applications, renewals and notification forms ("Producer Applications") to NIPR for transmittal to state insurance departments and allows USER to obtain certain information about USER contained in NIPR's Producer Database ("PDB").
  - o Resident Licensing ("RL") Services: allows USER to electronically complete and submit the Uniform Application for a Resident License ("Uniform Application") for purposes of filing an original resident license or a resident renewal, if applicable, with state insurance departments.
  - o Address Change Request ("ACR") Services: allows USER to electronically complete and submit address

Done Internet

USE AGREEMENT - Microsoft Internet Explorer

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Address <https://nipr-qa.nipr.com:2020/docMgmt/> Go

USER shall not assign or otherwise transfer by operation of law or otherwise this Agreement or any rights or obligations herein except in the case of a merger or the sale of all or substantially all or USER's assets to another entity This Agreement shall be binding upon and shall inure to the benefit of the parties, their successors and permitted assigns.

13. **Severability**

If any term, covenant, condition or provision of this Use Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining terms, covenants, and provisions shall remain in full force and effect and be in no way affected, impaired, or invalidated.

14. **Entire Agreement**

This Agreement supersedes all other agreements or representations either oral or written between NIPR and USER regarding the Services. If any provision in this Agreement should be held illegal or unenforceable by a court having jurisdiction, such provision shall be modified to the extent necessary to render it enforceable without losing its intent, or severed from this Agreement if no such modification is possible, and the remaining provisions of this Agreement shall remain in full force and effect. No waiver, alteration or modification of provisions in this Use Agreement shall be binding unless subsequently made in writing and signed by duly authorized representatives of NIPR.

Accept Decline

Done Internet



### Licensing Attachment Warehouse

Follow up submission and review of supporting documentation is frequently requested by a state as part of the licensing process. If you have been requested to submit follow up documents to support you license or renewal request, the document can be submitted to the Document Warehouse, and the participating state will be notified that it is available for review and/or download. You need only submit the document once, and any participating state can obtain the document. Please attach only what has been requested by the state to complete the license application process. **For a list of participating states, click [here](#).**

#### User Log-In

\*Resident State

\*Resident License Number

\*[National Producer Number \(NPN\)](#)

\*SSN/FEIN (Last 4 digits)

-- OR (especially for resident license applications)--

\*SSN or FEIN

\*Firm or Last Name

" \* " represents mandatory fields

[Participating States](#)[State Specific Requirements](#)[Instructions](#)[Fees](#)[Contact Us](#)[FAQ's](#)[Logout](#)**Name:** MICHAEL ANDREW KELLER**NPN:** 2445754**SSN/FEIN:** XXX-XX-0424

Below are the file(s) you have previously submitted to the attachment warehouse. If you wish to add a new document to the warehouse press the "Add new document" button.

**\* You can NOT delete or update documents once they are submitted.**

Application Type	In Response to	Link to Document
Non-Resident Licensing	<a href="#">Background Question 1</a>	
Non-Resident Licensing	<a href="#">Background Question 1A</a>	
Non-Resident Licensing	<a href="#">Background Question 1B</a>	
Non-Resident Licensing	<a href="#">Background Question 2</a>	
Non-Resident Licensing	<a href="#">Background Question 3</a>	
Non-Resident Licensing	<a href="#">Background Question 4</a>	
Non-Resident Licensing	<a href="#">Background Question 5</a>	
Non-Resident Licensing	<a href="#">Background Question 6</a>	
Non-Resident Licensing	<a href="#">Background Question 7</a>	
Non-Resident Licensing	<a href="#">Background Question 7A</a>	
Non-Resident Licensing	<a href="#">Background Question 7B</a>	
Non-Resident Licensing	<a href="#">Background Question 7C</a>	
Non-Resident Renewal	<a href="#">Background Question 1</a>	

## Participating States

The following states are currently participating in the Attachment warehouse through our electronic system. In order to participate, you must have a pending gateway transaction that has been submitted to the National Insurance Producer Registry's.

Alabama	Kentucky	North Dakota
Alaska	Louisiana	Ohio
Arizona	Maine	Oklahoma
Arkansas	Maryland	Oregon
California	Massachusetts	Pennsylvania
Colorado	Michigan	Rhode Island
Connecticut	Minnesota	South Carolina
Delaware	Mississippi	South Dakota
District Of Columbia	Missouri	Tennessee
Florida	Montana	Texas
Georgia	Nebraska	Utah
Hawaii	Nevada	Vermont
Idaho	New Hampshire	Virginia
Illinois	New Jersey	Washington
Indiana	New Mexico	West Virginia
Iowa	New York	Wisconsin
Kansas	North Carolina	Wyoming

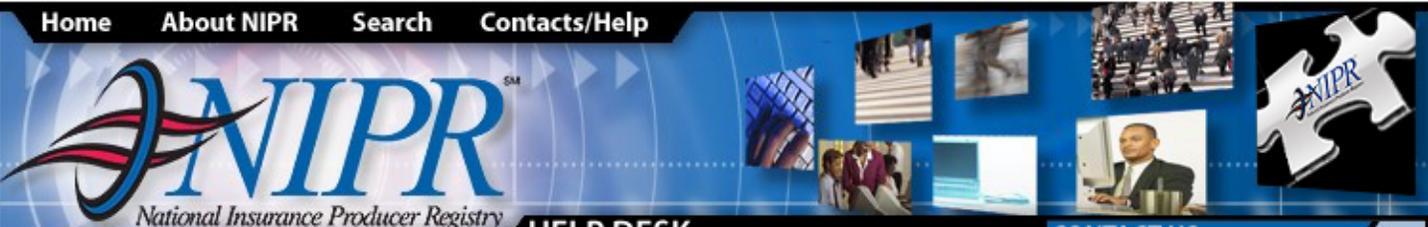
## Instructions

**Attachments Warehouse accepts attachments from both individual and business entity/agency producers.**

<b>Step 1:</b>	<p>First you must accept the Use Agreement and then sign-in to the application. There are two ways you can sign-in.</p> <p>For producers nonresident license and renewal applications where the producer has an NPN, fill in the producer's resident State, resident State license number, National Producer Number (NPN), and last-four (4) digits of the SSN (for individual and sole proprietorship) or FEIN (business entity/agency). If you have resident licenses in more than one state, you will need to pick one of these states to use as your home/resident state for the purposes of this application (any active resident license will do). If you have more than one license number for your home/resident state, you can use any that is associated with an active license.</p> <p>The second way is especially for resident license applications and agencies that do not know their <u>NPN</u>, fill in the producer's full SSN (for individual and sole proprietorship) or FEIN (business entity/agency). The link to the NPN utility that returns the producer's NPN is on the National Producer Number (NPN).</p>
<b>Step 2:</b>	<p>The screen will display your personal information at the top and display any previous documents you have attached. You will be able to view the data you entered with each attachment and the attachment itself, but you will not be able to delete nor edit either. The background question category labels are links that if you click will display the wording from the uniform application.</p>
<b>Step 3:</b>	<p>If you wish to add a new document, click on one of the buttons "Add new document."</p>
<b>Step 4:</b>	<p>Read and accept the Attestation. This will have to be done with each attachment.</p>
<b>Step 5:</b>	<p>First choose the category or categories these documents apply. You can access the wording of each of these by going back two (2) screens and clicking on the background question label.</p> <p>Second enter the date of the action. This would be the date the adjudication was made, the final determination of the action. Future dates are not allowed.</p> <p>Third fill in the box with a brief description of the action that was taken against you. You will also have an opportunity to add a description to each document that you submit. The maximum limit is 250 characters including spaces.</p>

**FEES**

There will be a \$5.00 per session fee for each producer for uploading documents into the electronic Attachment Warehouse. The producer can submit as many documents as they want for this fee per session. If the producer logs out and reenters by signing in again and uploads more documents the fee will be charged again. Example if one document is submitted at 10:00 a.m., and two more documents are submitted at 4:30 p.m. on the same day the fee will be \$5.00 each time (twice for \$10.00).



### USER GUIDES

[NIPR Web Site Quick Guide](#)  
[Producer Database \(PDB\) User's Guide](#)

- Detail Report
- Company Specialized Report
- Company Appointment Report
- Company Appointment Reconciliation Report
- Alerts

### Non-Resident Licensing User's Guide

- Electronic Non-Resident Licensing
- Paper Non-Resident Licensing

[Product Definitions](#)  
[Future Products](#)

The NAIC Support Center provides technical support and customer service for NAIC applications, products and services to enhance productivity within the insurance community. We satisfy customers by delivering education, communication and technical expertise for our computer systems

### How to Reach Us

The Help Desk is staffed from 8 a.m. to 5 p.m. Central Time, Monday through Friday (except holidays). You can contact the Help Desk using the methods below:

Speak with a Help Desk Analyst by calling 816-783-8500

### Send an E-Mail to the Help Desk

Send a FAX to 816-460-7456

### NIPR Internet Applications *All times are Central Time*

NIPR Web sites are generally available 24 hours a day, however, interruptions in service may occur during scheduled maintenance windows. NIPR Gateway transaction processing will not occur during scheduled maintenance. Maintenance windows are as follows:

- Monday 11 p.m. - Tuesday 7 a.m.
- Tuesday 11 p.m. - Wednesday 7 a.m.
- Wednesday 9 p.m. - Thursday 7 a.m.
- Thursday 11 p.m. - Friday 7 a.m.
- Friday 11 p.m. - Saturday 7 a.m.
- Saturday 1 p.m. - Monday 7 a.m.

### CONTACT US

**NIPR Customer Service**  
[Send an e-mail](#)  
816.783.8468

- PDB Data Issues
- Electronic Licensing (general questions)
- Customer Support
- Billing

**Help Desk**  
[Send an e-mail](#)  
816.783.8500

- Electronic Applications
- PDB Technical Issues
- Web Site Questions

**NIPR Marketing**  
[Send an E-mail](#)  
816.783.8467

- PDB and NIPR Gateway and Specific Subscriber Information

[Send comments or suggestions about this Web site via e-mail.](#)



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 [State Specific Requirements](#)   
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 [Logout](#)

**Name:** MICHAEL ANDREW KELLER

**NPN:** 2445754

**SSN/FEIN:** XXX-XX-0424

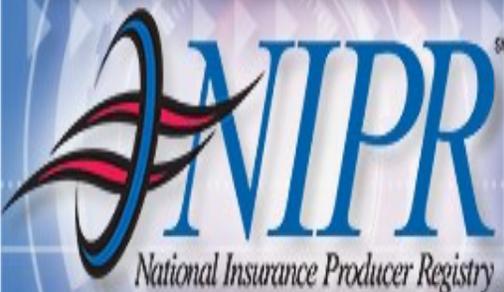
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Add new document

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Non-Resident Licensing	<a href="#">Background Question 6</a>	
Non-Resident Licensing	<a href="#">Background Question 7</a>	
Non-Resident Licensing	<a href="#">Background Question 7A</a>	
Non-Resident Licensing	<a href="#">Background Question 7B</a>	
Non-Resident Licensing	<a href="#">Background Question 7C</a>	
Non-Resident Renewal	<a href="#">Background Question 1</a>	

[Home](#) [About NIPR](#) [Search](#) [Contacts/Help](#)



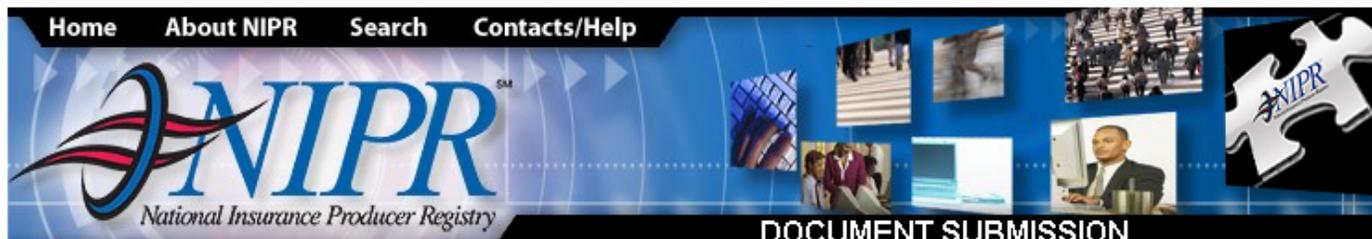
## BACKGROUND QUESTION

1. Have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime? "Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a certified copy of the charging document,
- c) a certified copy of the official document, which demonstrates the resolution of the charges or any final judgment.

[Back](#)

[Participating States](#)[State Specific Requirements](#)[Instructions](#)[Fees](#)[Contact Us](#)[FAQ's](#)[Logout](#)**Name:** MICHAEL ANDREW KELLER**NPN:** 2445754**SSN/FEIN:** XXX-XX-0424

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Non-Resident Licensing	<a href="#">Background Question 7B</a>	
Non-Resident Licensing	<a href="#">Background Question 7C</a>	
Non-Resident Renewal	<a href="#">Background Question 1</a>	



### **Individual Producer Attachment:**

**I hereby certify that, under penalty of perjury, all of the information submitted with respect to this Attachment to my licensing application (or renewal) is true and complete. I further certify that:**

1. I am aware that submitting false information or omitting pertinent or material information in connection with this Attachment to my licensing application (or renewal) is grounds for license revocation and may subject me to civil or criminal penalties.
2. Where required by law, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this Attachment is submitted to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
3. I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this Attachment is made to verify the information contained therein with any federal, state or local government agency, current or former employer, or insurance company.
4. I further certify that, under penalty of perjury, a) I have no child-support obligation, b) I have a child-support obligation and I am currently in compliance with that obligation, or c) I have identified my child support obligation arrearage on this application.
5. I authorize the jurisdictions to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
6. I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure or renewal.

If the producer submitting the Attachment agrees to the above statement, click Accept



**UPLOAD NEW DOCUMENT**

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**Name:** MICHAEL ANDREW KELLER

**NPN:** 2445754

**SSN/FEIN:** XXX-XX-0424

You can view these documents, but you can NOT alter or change any previously submitted documents. If you wish to attach a new document, please press Attach Document button below.

\*Category

- Non-Resident Licensing - Background Question 1 ▲
- Non-Resident Licensing - Background Question 1A
- Non-Resident Licensing - Background Question 1B
- Non-Resident Licensing - Background Question 2
- Non-Resident Licensing - Background Question 3 ▼

\*Date of Action  
(Format MM-DD-YYYY)

\*Document Description By Producer  
(250 maximum characters including spaces and punctuation.)

\*Document to upload

Browse...

Producer

Authorized Submitter

As the authorized submitter, I declare that the applicant provided all the information submitted on this application.

\* Submitters First Name:

\* Submitters Last Name:

\* Submitters Firm Name:

\* Submitters Title:

\* Submitters Firm Phone:  -  -

\* Submitters Firm Address:

Street address (P.O. Boxes not acceptable)

Apartment, suite, unit, building, floor, etc.

PO Box, etc, etc.

\* Submitters Firm City:

\* State:

\* Country:

\* Submitters Zip Code:  -

Attach Document

Back

**Name:** MICHAEL ANDREW KELLER

**NPN:** 2445754

**SSN/FEIN:** XXX-XX-0424

You can view these documents, but you can **NOT** alter or change any previously submitted documents. If you wish to attach a new document, please press Attach Document button below.

\*Category

- Non-Resident Licensing - Background Question 1 ▲
- Non-Resident Licensing - Background Question 1A ▾
- Non-Resident Licensing - Background Question 1B ▾
- Non-Resident Licensing - Background Question 2 ▾
- Non-Resident Licensing - Background Question 3 ▾

\*Date of Action  
(Format MM-DD-YYYY)

07-01-2008

\*Document Description By Producer  
(250 maximum characters including spaces and punctuation.)

Here is where the description of the incident is added

\*Document to upload

G:\DATA\NIPR\Bill Horn\CHGFORM - Attachments W:

Producer

Authorized Submitter

As the authorized submitter, I declare that the applicant provided all the information submitted on this application.

\* Submitters First Name:       \* Submitters Last Name:

\* Submitters Firm Name:

\* Submitters Title:

\* Submitters Firm Phone:  -  -

\* Submitters Firm Address:  Street address (P.O. Boxes not acceptable)  
 Apartment, suite, unit, building, floor, etc.  
 PO Box, etc, etc.

\* Submitters Firm City:       \* State:       \* Country:

\* Submitters Zip Code:  -



DOCUMENT SUBMISSION

CREDIT CARD INFORMATION

Amount: \$5

Card Type: [Select Card]

Account Number: [ ]

Expiration Date: [Select Month] [Select Year]

Name on Credit Card: [ ]

I am authorized to use the credit card I have entered on this page:

Yes

<< Back Reset Continue >>





**DOCUMENT SUBMISSION**

Please confirm your credit card information and click 'Submit Payment' to process your transaction.

Amount: \$ 5  
Card Type: AMEX  
Account Number: 378282246310005  
Expiration Date: 9/2009  
Name on Card: keller

**To ensure that your transaction is processed correctly and to avoid potential duplicate credit card charges:**

- Please click the "Submit Payment" button only **ONCE**
- Do not click the browser's Stop or Back buttons after you have clicked the "Submit Payment" button

Once you click "Submit Payment," your transaction will be sent. After your transaction has been sent successfully, a page displaying a transaction number, which confirms the successful submission of your application, will be displayed.

**PLEASE BE PATIENT, AS THIS PROCESS MAY TAKE A MOMENT.**

**PLEASE NOTE: FEES ARE NON-REFUNDABLE.**

**If you encounter problems, notify the NAIC Help Desk at 816-783-8500 or [help@naic.org](mailto:help@naic.org)**



## Attachments – Credit Card Charge

The page at <https://npr-qa.npr.com:2020> says:



By submitting this information, I am authorizing the National Insurance Producer Registry (NIPR) to process fees via the credit card information provided. I acknowledge that the respective State(s) will accept or decline this application and that ALL FEES ARE NON-REFUNDABLE.

OK

Cancel



DOCUMENT SUBMISSION

Your credit card transaction has been approved.

The following credit card has been charged:

Credit Card Number: 0005

Amount charged: 5

Reference Number: Y19F0F2E2CC6

Date/Time: Wed Jul 30 07:52:41 CDT 2008

This charge will appear on your credit card as:

"NIPR Licensing Services, Kansas City, MO"

[Print this page as your receipt of the transaction.](#)

**VeriSign has routed, processed, and secured your payment information.**

[More information about VeriSign.](#)



Continue



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VIEW ATTACHMENT

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**SSN/FEIN:** XXX-XX-0424

Current Categories	Non-Resident Licensing - Background Question 1
**Category <i>To add one or more categories highlight the Category (Press CTRL for more than one)</i>	Non-Resident Licensing - Background Question 1A Non-Resident Licensing - Background Question 1B Non-Resident Licensing - Background Question 2 Non-Resident Licensing - Background Question 3 Non-Resident Licensing - Background Question 4
Background Question Details	<a href="#">Background Question 1</a>
Document Description (250 max. chars)	Here is where the description of the incident is added
Date of Action	01-JUL-08
Date Submitted	30-JUL-2008 07:47:AM
Submitted By	Producer
Document to view	<a href="#">CHGFORM - Attachments Warehouse.DOC</a>

" \* " Required Selection.

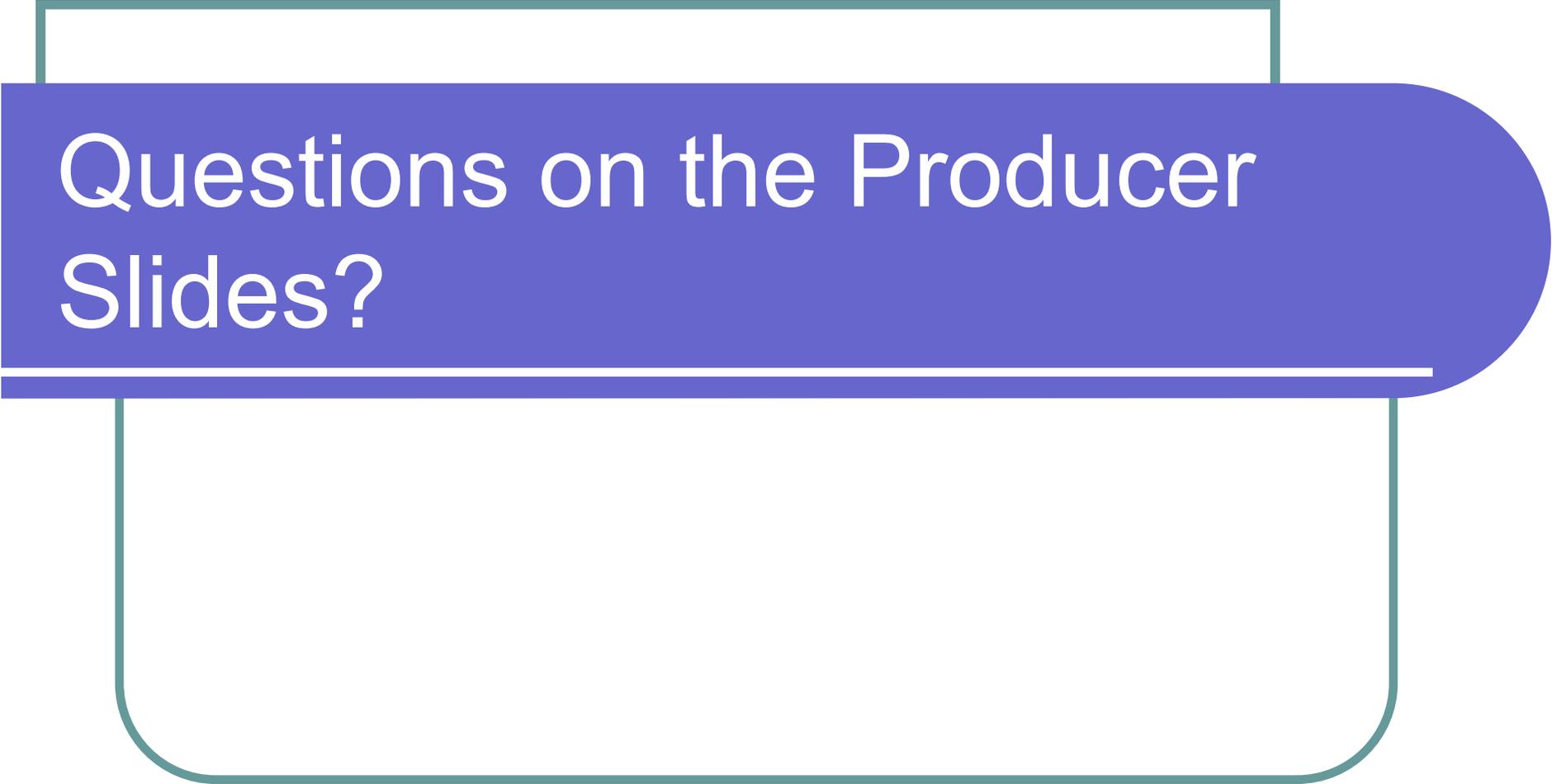
## **USE AGREEMENT**

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- NIPR's Producer Access Services: allows USER to electronically complete and submit license applications, renewals and notification forms ("Producer Applications") to NIPR for transmittal to state insurance



Questions on the Producer  
Slides?

# Attachment Warehouse Regulator Screens

Scheduled Release Date:  
August 29, 2008

Tiffany Doenges

Bill Horn

# NAIC I-SITE

National Association of Insurance Commissioners Internet-State Interface Technology Enhancement

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- [NAIC Home Page](#)
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- [Release Notes](#)
- [SERFF](#)
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These reports are only accessible by State insurance departments and State insurance Field Examiners.  
Please enter your valid NAIC Oracle UserID and NAIC Oracle Password and then click on a Log-in Category.

### Log-in Categories

NAIC Oracle UserID:

NAIC Oracle Password:

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Last Updated: 06/19/2008 06:17:16

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## Personalized Information Capture System

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**Personalized Information Capture System (PICS)** is an application that helps users set up a customizable notification system regarding NAIC databases. The user can establish a profile indicating the type of information that is of interest to them and receive notification when/if the information has changed.

**Create Profile Now!** Registering for PICS services is easy. Simply click the "Create Profile Now" button to the left and follow the steps of setting up your profile. You will now have the authority to select events and specify business filters.

# Personalized Information Capture System

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## Events for All Categories

Click on the Event Name link to update the filter. Updating the filter automatically changes the status of an event to Active. You can toggle the status of events that are marked as Active or InActive by clicking on the status link.

Event Name	Status	Description
<a href="#">Company Name Change</a>	Unused	Identify companies whose names have been updated in the NAIC database.
<a href="#">Group Code Change</a>	Unused	Alert when a group code changes.

<a href="#">Producer Firm Exam Notification</a>	Unused	Notify subscribers of an examination entered or updated for producer firms (companies without a cocode).
<a href="#">Special Activities Notification</a>	Unused	Notify subscribers of new Special Activities added to the database.
<a href="#">Attachment Notification</a>	<a href="#">Active</a>	Notify subscribers of newly added files to the attachment warehouse.
<a href="#">Online Fraud Reporting System Notification - DVLP</a>	Unused	Notify users of new Online Fraud Reports entered into the database.

## Personalized Information Capture System

### Alert: Attachment Notification

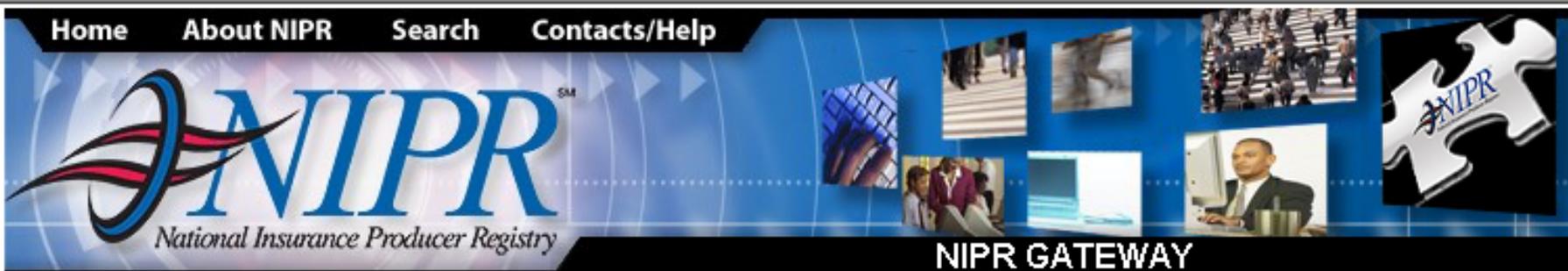
The Personalized Information Capture System (PICS) is confidential and shall be used for regulatory purposes only. The PICS Attachment Notification shows the following producers have since the last PICS alert added their initial entry and/or a file(s) to the Licensing Attachment Warehouse. Both individual and business entity/agency producers submit electronic documents to State regulators in response to a request for supporting documents in either the electronic licensing or renewal process using the Licensing Attachment Warehouse. The contact information for the producer is contained in the Gateway transaction.

State Code	Trans Type Desc	Nipr Trans	NPN	Tax Num	Name	Res State	Res License Id	Question Num	File Submitted Date
XX	Non-Resident License	101	1234567	000123456	PRODUCER, AVERAGE	YY		1	06/13/2008 02:12:16
XX	Non-Resident License	103	2345678	000234567	AGENCY, AVERAGE	ZZ		1	06/13/2008 02:12:16

The filter used for this event was: **(No qualifying filter applied)**.

Additional information can be found at this web site: <https://i-site-state.naic.org/bsite/>.

**Please do not reply to this message. Any correspondence should be addressed to the [NAIC Help Desk](#).**



The NIPR Gateway is an electronic gateway developed to link state insurance departments with the organizations which they regulate. The goal is to simplify communications and to distribute information electronically, including licensing applications, appointments and terminations.

If you are interested in submitting electronic appts/terms through NIPR, [click here](#).  
If you are trying to access the Producer Database (PDB), [click here](#).

### Existing Gateway Customer Log-In

Login I.D.

Password

Remember my Login ID

Login



## NIPR GATEWAY

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- [Aging Report](#)
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### Tools

- [Transaction Search](#)
- [Holding Tank](#)
- [State Interface](#)
- [Electronic Attachments Warehouse](#)
- [My Recent Requests](#)

### Account

- [My Preferences](#)
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### Welcome to the NIPR Gateway!

Please take a moment to read the following important announcements:

**2/29/2008 9:38a.m. - NIPR NOTICE**

NIPR Gateway completed execution

**9/5/2007 2:10p.m. - test**

test

**5/28/2004 10:31a.m. - The test that never ends**

This test will be here for awhile. Scheduled to expire on December 31 at 11:59 PM.

Attachment Gateway - Microsoft Internet Explorer

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Address <https://nipr-qa.nipr.com:2020/docMgmt/gtwyLogin.do> Go

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## ATTACHMENT GATEWAY - SEARCH

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### Attachment Warehouse Search by Producer

---

**Producer Type :**  Individual  Firm

**Search By:**  Name  NPN  SSN/FEIN  License Number

**Search Criteria:**

NPN

---

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### Attachment Warehouse Search by Producer

**Producer Type :**     Individual     Firm  
**Search By:**         Name         NPN         SSN/FEIN     License Number

#### Search Criteria:

First Name   
Middle Name   
Firm or Last Name

#### Search Results

CAROLYN	JULIE	MORSE	<input type="button" value="Select"/>
---------	-------	-------	---------------------------------------

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Application Type	In Response to	Link to Document
Non-Resident Licensing	Background Question 1	<a href="#">FAXCOM_0808132048521775.pdf</a>
Non-Resident Licensing	Background Question 1A	<a href="#">FAXCOM_0808132048521775.pdf</a>
Non-Resident Licensing	Background Question 1B	<a href="#">FAXCOM_0808132048521775.pdf</a>

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Category	Non-Resident Licensing - Background Question 1 Non-Resident Licensing - Background Question 1A Non-Resident Licensing - Background Question 1B
Background Question Details	<a href="#">Background Question 1</a>
Document Description (250 max. chars)	Testing for Tiffany
Date of Action	24-AUG-08
Date Submitted	25-AUG-2008 02:19:PM
Submitted By	Authorized Submitter
Submitters Name	Kim Havens
Submitters Firm Name	Havens Insurance
Submitters Title	agent
Submitters Firm Phone	816-555-4545
Submitters Firm Address	1200 Main floor 2  Flossmoor IL 60422
Document to view	<a href="#">FAXCOM_0808132048521775.pdf</a>

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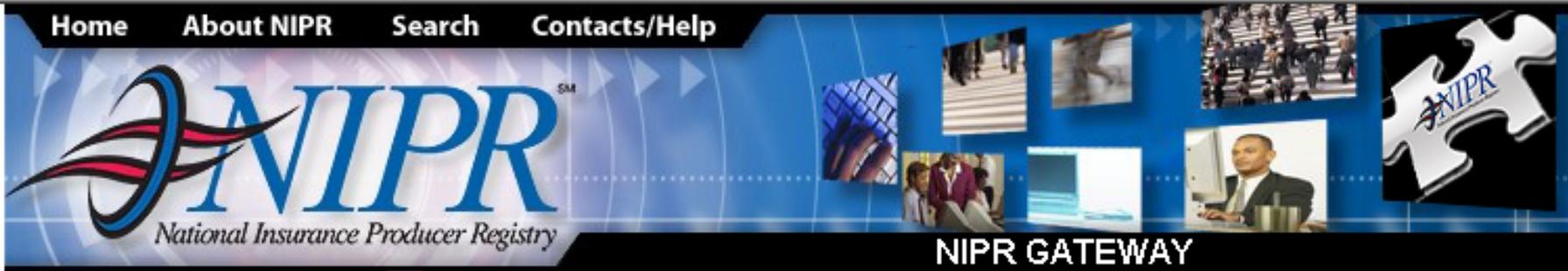
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- Last Updated: 12/23/2007
- [Notification Rules](#)
- [PDB Transaction Layout](#)
- Last Updated: 6/10/2004
- [Gateway Transaction Layout](#)
- Last Updated: 1/1/2005
- [Business Rules](#)
- [Comment Codes](#)
- [Error Codes](#)
- [Firm Type Codes](#)
- [License Class Codes](#)
- [Line of Authority Codes](#)
- [State County Codes](#)
- [Termination Reason Codes](#)
- [Contact Us](#)
- [System Management](#)

**APPLICANT PHONE NUMBERS**  
**Business Phone #:** 9999999999

**APPLICATION INFORMATION**

**BACKGROUND QUESTIONS**  
 For complete wording of these questions go to: [Licensing Applications](#)  
[View all producer submitted electronic attachments](#)

1. **Yes.** [View electronic attachment](#)

1A. **No.**

1B. **Yes.** [View electronic attachment](#)

2. **Yes.** [View electronic attachment](#)

3. **No.**

3A. **0.**

3B. **No.**

3C. **No.**

**STATE-SPECIFIC INFORMATION FOR NJ**

1. **No.**

**Attestation Accepted:** Yes [Show Attestation](#)

**AUTHORIZING OFFICER**

**Submitter:** Producer

**CONTACT INFORMATION**

**Last Name:** PABON **First Name:** CAROLYN

**CONTACT EMAIL ADDRESSES**  
**Unknown Email Address:** [VB@VB.COM](mailto:VB@VB.COM)

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Application Type	In Response to	Link to Document
Resident Renewal	Background Question 1	<a href="#">Example of a Legal size document.doc</a>
Resident Renewal	Background Question 1B	<a href="#">FAXCOM_0808132048521775.pdf</a>
Resident Renewal	Background Question 2	<a href="#">Example of a Legal size document.pdf</a>

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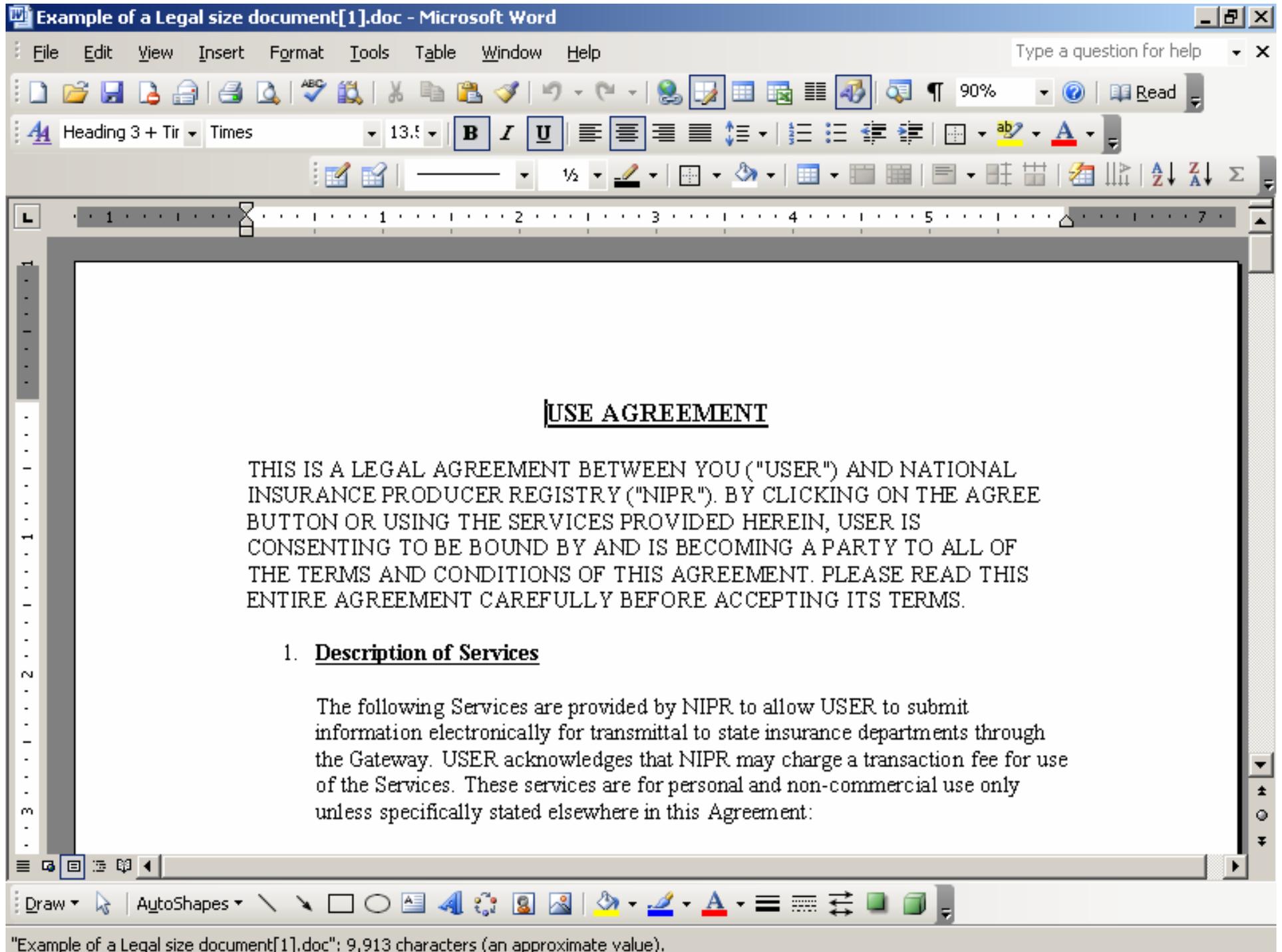
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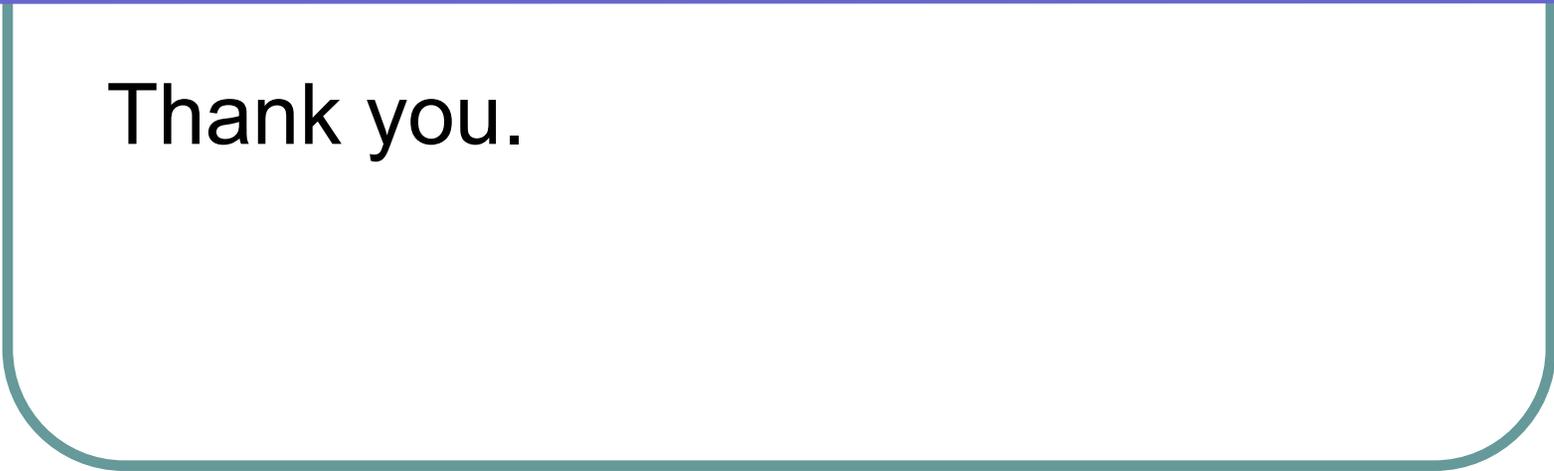
Category	Resident Renewal - Background Question 1
Background Question Details	<a href="#">Background Question 1</a>
Document Description (250 max. chars)	Testing legal length documents
Date of Action	14-AUG-08
Date Submitted	14-AUG-2008 07:01:AM
Submitted By	Authorized Submitter
Submitters Name	Kim Havens
Submitters Firm Name	havens insurance
Submitters Title	agent
Submitters Firm Phone	816-555-7878
Submitters Firm Address	1400 Main floor 20  Flossmoor IL 60422
Document to view	<a href="#">Example of a Legal size document.doc</a>

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Questions?



Thank you.